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OFFICIAL

Atty Docket No. 18477-000510US

PTO FAX NO.: (703) 872-9326
ATTENTION: Examiner Jagdish Patel
TELEPHONE NO.: (703) 308-7837

Group Art Unit 3624

**OFFICIAL COMMUNICATION
AMENDMENT, TERMINAL DISCLAIMER, STATEMENT
UNDER 3.73(B) FOR ENTRY IN U.S. PATENT
APPLICATION NO. 09/558,945
FILED APRIL 26, 2000**

**FOR THE PERSONAL ATTENTION OF
EXAMINER JAGDISH PATEL**

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following document(s) in re Application of Jonathan Kepecs, U.S. Application No. 09/558,945, filed April 26, 2000 for SYSTEM AND METHOD FOR INVERTED PROMOTIONS are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Document(s) Attached

1. SB/21 Transmittal Form (1 page)
2. SB/17 Fee Transmittal (in duplicate) (2 pages)
3. Amendment (15 pages)
4. SB/26 Terminal Disclaimer (1 page)
5. SB/96 Statement Under 37 C.F.R. 3.73(b) (1 page)

Number of pages being transmitted, including this page: 21

Dated: November 6, 2003


Krista K. Merrimac

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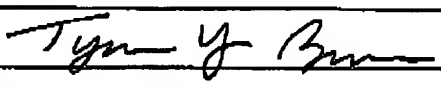
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6076623 v1

PTO/SB/21 (08-03)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	09/558,945
		Filing Date	April 26, 2000
		First Named Inventor	Kepecs, Jonathan
		Art Unit	3624
		Examiner Name	Jagdish Patel
Total Number of Pages in This Submission	21	Attorney Docket Number	18477-000510US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.62 or 1.63	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input checked="" type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 3.73(b), Certification of Facsimile Transmission
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual	Townsend and Townsend and Crew LLP Tyrome Y. Brown Reg. No. 48,580	
Signature		
Date	November 6, 2003	

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 872-9328 on November 6, 2003.		
Typed or printed name	Krista K. Merimao	
Signature		Date November 6, 2003

60076658 v1

PTO/SB/17 (10-03)

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 55

Complete if Known

Application Number 09/558,945
 Filing Date April 26, 2000
 First Named Inventor Kepecs, Jonathan
 Examiner Name Jagdish Patel
 Art Unit 3624
 Attorney Docket No. 18477-000510US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:Deposit
Account
Number

20-1430

Deposit
Account
Name

Townsend and Townsend and Crew LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		1001	770	2001 385 Utility filing fee	
		1002	340	2002 170 Design filing fee	
		1003	530	2003 265 Plant filing fee	
		1004	770	2004 385 Reissue filing fee	
		1005	180	2005 80 Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
Multiple Dependent			

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
		1202	18	2202 9 Claims in excess of 20
		1201	86	2201 43 Independent claims in excess of 3
		1203	280	2203 145 Multiple dependent claim, if not paid
		1204	86	2204 43 ** Reissue independent claims over original patent
		1205	18	2205 9 ** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

*or number previously paid, if greater; For Reissue, see above

3. ADDITIONAL FEES

Large	Small	Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	65	Surcharge - late filing fee or oath	
1052	2052	50	25	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	130	Non-English specification	
1812	1812	2,520	2,520	2,520	For filing a request for reexamination	
1804	1804	920*	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	55	Extension for reply within first month	
1252	2252	420	210	210	Extension for reply within second month	
1253	2253	850	475	475	Extension for reply within third month	
1254	2254	1,480	740	740	Extension for reply within fourth month	
1255	2255	2,010	1,005	1,005	Extension for reply within fifth month	
1401	2401	330	165	165	Notice of Appeal	
1402	2402	330	165	165	Filing a brief in support of an appeal	
1403	2403	290	145	145	Request for oral hearing	
1451	1451	1,510	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	55	Petition to revive - unavoidable	
1453	2453	1,330	665	665	Petition to revive - unintentional	
1501	2501	1,330	665	665	Utility issue fee (or reissue)	
1502	2502	480	240	240	Design issue fee	
1503	2503	640	320	320	Plant issue fee	
1460	1460	130	130	130	Petitions to the Commissioner	
1807	1807	50	50	50	Petitions related to provisional applications	
1806	1806	180	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	385	Filing a submission after final rejection (37 CFR § 1.128(a))	
1810	2810	770	385	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385	385	Request for Continued Examination (RCE)	
1802	1802	900	900	900	Request for expedited examination of a design application	

Other fee (specify) Terminal Disclaimer

55

*Reduced by Basic Filing Fee Paid SUBTOTAL (3)

(\$)55

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Tyrome Y. Brown Registration No. (Attorney/Agent) 46,580 Telephone 650-328-2400
 Signature *Tyrome Y. Brown* Date November 6, 2003

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PTO/SB/98 (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Jonathan KepecsApplication No./Patent No.: 09/558,945 Filed/Issue Date: April 26, 2000Entitled: SYSTEM AND METHOD FOR INVERTED PROMOTIONSConcept Shopping, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011126, Frame 0092, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

October 1, 2003

Date

630-963-7670

Telephone number

Joseph R. Battoe

Typed or printed name

[Signature]

Signature

President & CEO

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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